

17648 U.S. PTO



100203

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3858-008-27

First Inventor or Application Identifier

Richard J. ROBY

Title

METHOD AND APPARATUS FOR INDICATING ACTIVATION OF A SMOKE  
DETECTOR ALARM

17648 U.S. PTO

10/676779

100203

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
2. ☒ Specification Total Pages **26**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **10**
4. ☐ Oath or Declaration Total Pages 
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

☒ This application claims priority of provisional application Serial No. 60/415,127 Filed October 2, 2002.

## 18. CORRESPONDENCE ADDRESS

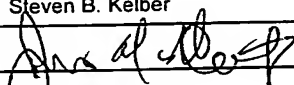
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	October 2, 2003
Name	James M. Heintz	Registration No.	41,828
		Telephone	202-861-3900

<b>FEE TRANSMITTAL</b>						Docket No.		3858-008-27	
						Serial No.		New Application	
						Filing Date		Herewith	
						Inventor(s)		Richard J. ROBY, et al.	
						Group Art Unit			
TOTAL AMOUNT OF PAYMENT				\$576.00		Examiner			

1. <input checked="" type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any <b>UNDERPAYMENT</b> or credit any <b>OVERPAYMENT</b> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.						<b>FEE CALCULATION (continued)</b>									
						<b>3. ADDITIONAL FEES</b>									
						Large Entity		Small Entity		Fee Description					
						Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid				
<b>FEE CALCULATION</b>						1051	130	2051	65	Surcharge-late filing fee or oath	65.00				
<b>1. BASIC FILING FEE</b>						1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet					
Large Entity		Small Entity		Fee Description		1053	130	1053	130	Non-English Specification					
Fee Code	Fee (\$)	Fee Code	Fee (\$)								Fee Paid				
1001	770	2001	385	Utility filing fee							385.00				
1002	340	2002	170	Design filing fee											
1003	520	2003	260	Plant filing fee											
1004	770	2004	385	Reissue filing fee											
1005	160	2005	80	Provisional filing fee											
<b>SUBTOTAL (1)</b>							\$385.00								
<b>2. EXTRA CLAIM FEES</b>						1403	290	2403	145	Request for Oral Hearing					
tot. claims		34	-	20*	= 14	x	\$9	=	126	1501	1330	2501	665	Utility/Reissue Issue Fee	
ind. claims		3	-	3*	= 0	x	\$43	=	0	1502	480	2502	240	Design Issue Fee	
<input type="checkbox"/> Multiple Dependent Claims							\$145	=		1504	300	1504	300	Publication Fee	
Large Entity		Small Entity		Fee Description		8001	3	8001	3	Advance Copy of Patent					
Fee Code	Fee (\$)	Fee Code	Fee (\$)			1460	130	1460	130	Petitions to the Commissioner					
1202	18	2202	9	Claims in excess of 20		1806	180	1806	180	IDS Submission					
1201	86	2201	43	Independent claims in excess of 3		8021	40	8021	40	Assignment recordation					
1203	290	2203	145	Multiple dependent claim, if not paid		1801	770	2801	385	For Filing RCE					
1204	84	2204	43	*Reissue independent claims over original patent		1814	110	2814	55	Terminal Disclaimer					
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):									
<b>SUBTOTAL (2)</b>							\$126.00								
* or number previously paid, if greater; For Reissues, see above						<b>SUBTOTAL (3)</b>						\$65.00			

Name		Steven B. Kelber		Registration No.		30,073	
Signature				Date	October 2, 2003	Telephone	202-861-3900
Name		James M. Heintz		Registration No.		41,828	

DOCKET NO. 3858-008-27

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Richard J. ROBY, et al.

ART UNIT:

SERIAL NO.: New Application

EXAMINER:

FILING DATE: Herewith

FOR: METHOD AND APPARATUS FOR INDICATING ACTIVATION OF A  
SMOKE DETECTOR ALARM

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
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SIR:

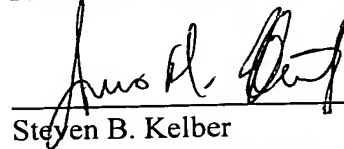
Listed below are the names and addresses of the inventors for the above-identified patent application.

- |                                                                   |                                                                          |
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| 1) Richard J. Roby<br>8112 Sea Light Lane<br>Columbia, MD 21045   | 4) Diwakar Vashishat<br>19636 Twin Flower Circle<br>Germantown, MD 20876 |
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A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

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